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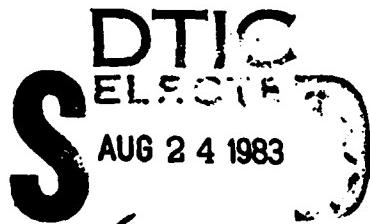
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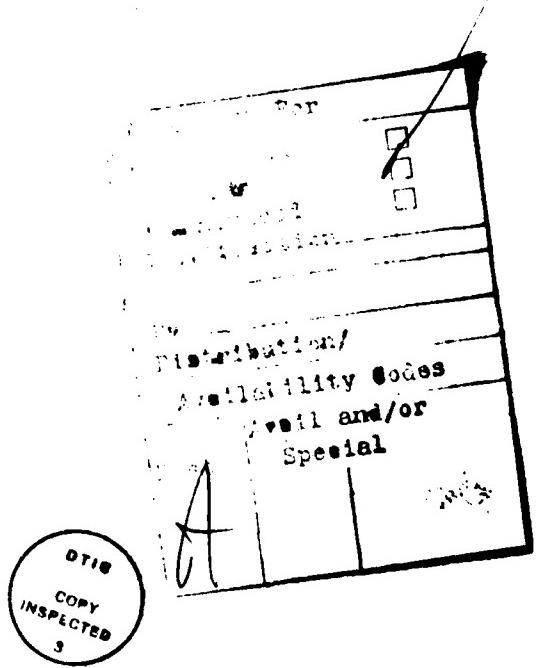
20. between epicenters of strong earthquakes and major faults in the southern Tien Shan and the Tibetan regions.

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## ABSTRACT

Seismic data and LANDSAT imagery in western China have been investigated in detail. Fault plane solutions of earthquakes that occurred in the past ten years were derived from polarities of first motions of P waves and S waves. Numerous faults and fault systems were identified from LANDSAT imageries. In particular, a new strike-slip fault (the Po Chu fault) and numerous normal faults in the Tibetan Plateau were discovered. Lineaments on the imagery were interpreted as late Cenozoic faults primarily based on linearity, sharpness of a scarp, and presence of a topographic, tonal or textural difference across the lineament. Characteristics of different types of faulting can be inferred from their geomorphology. Normal faults are indicated by sharp, broken, and en echelon fault traces. Major strike-slip faults are characterized by long linear traces. Evidence for recent motions along such faults is provided by sharp offsets in the Quaternary alluvium, ponding of streams, and offset of streams. Analysis has shown positive correlations between epicenters of strong earthquakes and major faults in the southern Tien Shan and Tibetan regions.



### Technical Problem

Although a successful theoretical and empirical framework has been developed for understanding seismicity near lithospheric plate boundaries, earthquakes occurring within these boundaries remain an enigma. Intraplate earthquakes are less common than seismic events in active tectonic regions, but they are known to reach large magnitudes. In general, we do not understand why or how such shocks occur, what geological features they are associated with, where they may be expected to occur in the future, or why their seismograms in many ways more closely resemble those of buried nuclear explosions than those of shocks in the major seismic belts. An increased understanding of intraplate earthquakes is essential in the planning of major constructions such as dams, nuclear power plants and other large structures. It is also necessary for the safety of thousands or even millions of lives. The recent successes in earthquake prediction by the Chinese indicate that it would be of value to the United States to understand seismicity in China because their methods used in predicting large intraplate seismic events can be applied to predicting large seismic events in the United States. An increased understanding of intraplate earthquakes can also improve our ability to discriminate between natural events and nuclear explosions.

### General Method

In order to circumvent the limitations placed on studies of Chinese seismicity by the infrequent occurrence of intraplate earthquakes, data from instrumentally, located epicenters, fault plane solutions, and geologic evidence for long-term movements were incorporated and evaluated in this study. Literature reviews on Tibet and Tien Shan regions have been completed. LANDSAT data is of great value in studying the tectonics of China because ground observations by western scientists are not possible. The imagery has revealed previously unknown, large, strike-slip faults in China. Ponding of streams and offset of alluvium on these and other faults are evidence that the faults are active. The mapping of geologic features has been integrated with fault plane solutions, seismicity patterns, and regional stress patterns.

Earthquake swarms and aftershock sequences were also studied with the Joint Hypocenter Determination (JHD) technique to yield very accurate relative locations. Fault planes may be determined with this method, thereby removing the ambiguity of the choice of fault plane from a fault plane solution. Data from the World Wide Network of Seismograph Stations (WWNSS) were used for the JHD method. First P motions from long-period seismograms and S-wave polarizations were used to

construct fault plane solutions. These fault plane solutions and the previously determined ones have improved the understanding of local stress patterns and regional tectonics.

#### Technical Results

Studies completed during this contract are:

1. A comprehensive study of the Late Cenozoic "Tectonics of the Tibetan Plateau," which has been submitted to the Journal of Geophysical Research for publication, is attached as Appendix 1. In this study, normal faulting interpreted from LANDSAT imagery and fault plane solutions of earthquakes suggest late Cenozoic east-west extension in the Tibetan Plateau. Normal faulting contrasts with major thrusting along the southern boundary and major strike-slip faulting near the other boundaries of Tibet. The extension may be related to western Tibet being compressed between thrusting on the south and strike-slip faulting on the northwest and consequently spreading along an east-west trend.

2. A preprint of "Contemporary Tectonics in the Tien Shan Region," which the author is planning to submit to Earth and Planetary Science Letters, is attached as Appendix 2. In this study seismic data show that the principal earthquake zones of the Tien Shan region are clearly related to the neotectonic structures. For most of the fault plane solutions compressive stress axes are nearly horizontal and trending approximately N-S, perpendicular to the trend of the Tien Shan fold belts. The southeastern Tien Shan region is characterized by northward thrusting. In contrast, the southwestern Tien Shan region is characterized by southward thrusting. Between these two major thrust belts the Talaso-Fargana right lateral strike-slip fault appears to be absorbing the differential horizontal shortening.

3. Relocation of the Tien Shan Events with Joint Hypocenter Determination. A series of earthquakes occurred in the eastern Tien Shan during 1969 and 1971 (Figure 1). These earthquakes are mainly of the shock-aftershock sequence type because of the relatively large magnitude of the main shock compared with the magnitude of the aftershocks. The method of Joint Hypocenter Determination (JHD) was used to relocate the epicenters of the earthquakes. The relocations were obtained with this method using a station-corrected calibration event, initial earthquake hypocenters, initial arrival time, and station arrival times of the events, all taken from the Bulletin of the International Seismological

Centre. Thirty earthquakes were relocated by this method with a maximum of 15 possible stations per earthquake and a minimum of 7 stations per earthquake. Stations were chosen to give the best possible uniform azimuthal and epicentral coverage as well as the most reliable readings. Table 1 gives the station epicentral distances and approximate azimuths.

The ISC reported depths less than 47 km for most of these events. These depth determinations are calculated from arrival times of P only. Thus, without pP data or arrival times at stations very close to the source, it is impossible to accurately determine focal depths. Since our primary interest was to develop reliable techniques to determine fault planes from fault plane solutions and satellite imagery, approximation is made by assuming all hypocenters to be at the same depth. The resulting relocated epicenters are shown in Figure 2. The dotted lines represent the approximate trend of aftershocks. This trend is in good agreement with the "A" nodal plane also shown in Figure 2. Therefore, this nodal plane, which strikes N75°W with a shallow north-dipping angle, was chosen for the fault plane.

Interpretation of LANDSAT imagery indicates no obvious lineament trending in this direction. However, the approximately east-west folding in the foothills of the Tien Shan indicates a shallow thrusting dip toward the north. This observation is also in agreement with the general trend of the aftershock sequences. Further study of LANDSAT imagery of different seasons is necessary to determine whether faults can be observed from the imagery.

**4. Fault Plane Solution of the Haicheng Earthquake of February 4, 1975.** A major earthquake occurred in the Liaoning Province on February 4, 1975. Parameters reported by the U.S.G.S. for this earthquake are: epicenter - 40.641°N, 122.58°E; origin time - 11 h 36 m 7.54 s; depth of focus - normal; magnitude - 7.4 (MS). Data for this earthquake were obtained through WWNSS stations. Only the first motion of P waves and some S-wave polarizations recorded on long-period instruments were used in the construction of the fault plane solution. The mechanism (Figure 3) indicates strike-slip faulting. This earthquake was accompanied by numerous aftershocks. Figure 4 shows the distribution of earthquakes of magnitude 2 and greater between February 1 and May 31, 1975 (Gu et al., 1976). Aftershocks were concentrated in an area 70 km long and 30 km wide, and the epicentral

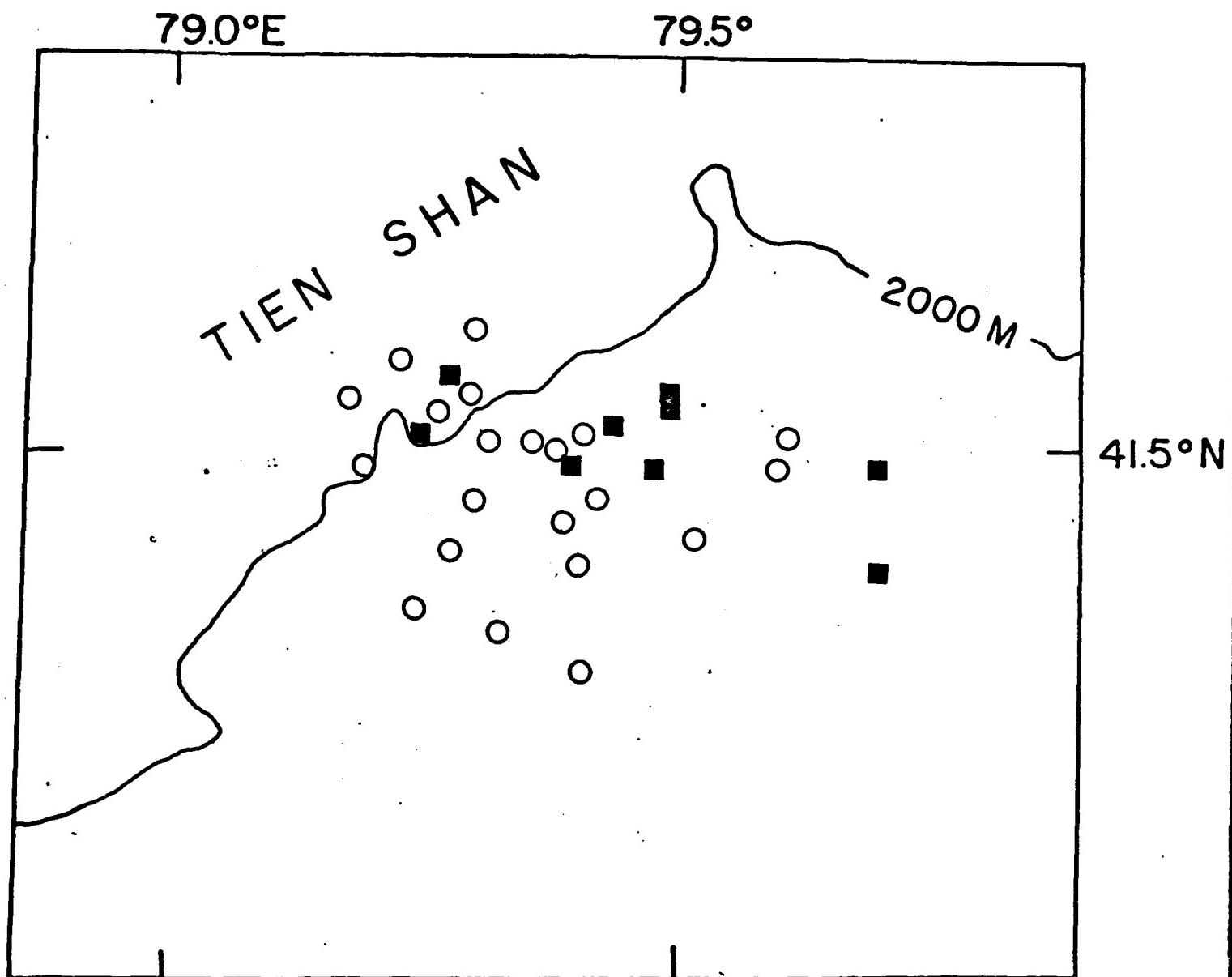
depth distribution was between 1 and 17 km with most less than 12 km. Therefore, we conclude that the near-vertical east-west trending nodal plane is the fault plane.

Reference

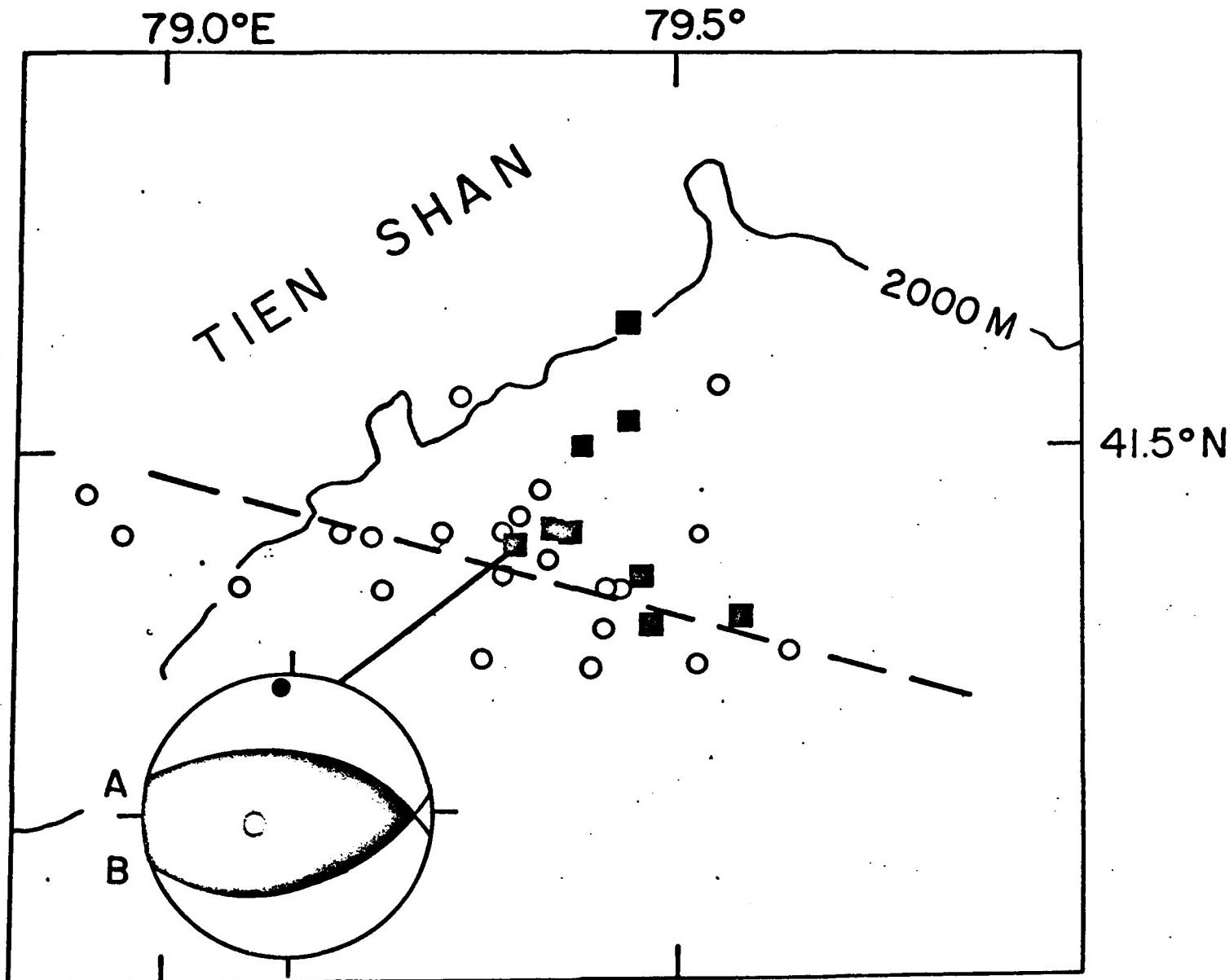
Gu, H. D., Y. T. Chin, X. L. Gao, and Y. Zhao, 1976. Focal mechanism of the Haicheng, Liaoning Province, earthquake of February 4, 1975, Acta Geologica Sinica, 19, 270-284.

TABLE 1.  
Stations Used in the JHD Relocations

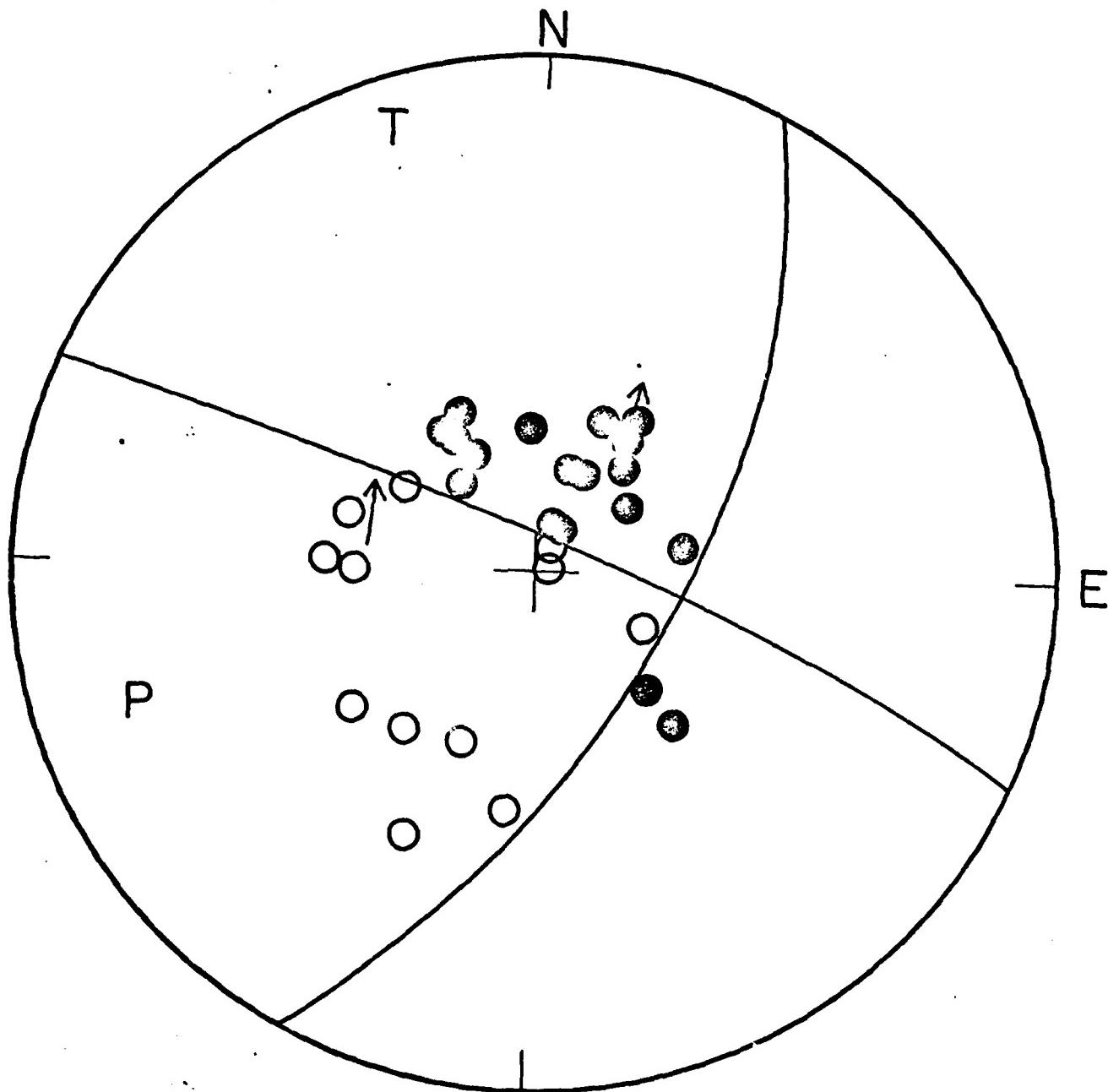
Station Abbreviation	Epicentral Distance (deg)	Azimuth (deg)
PRZ	1.19	331
MNL	9.33	209
KBL	10.55	323
NDI	12.83	188
QUE	15.18	226
SHL	18.87	143
GBA	27.69	185
NUR	38.16	319
NIE	41.29	302
KRA	41.31	303
GRF	47.08	304
MBC	62.00	5
COL	67.80	20
YKC	75.84	7
WRA	79.34	129



**Figure 1.** Map shows ISC location of epicenters from 1969 to 1971 events.  
Solid squares represent 1969 aftershock sequence, open circles represent  
1971 aftershock sequence.



**Figure 2.** Map shows JHD relocated epicenters from 1969 to 1971 events. Solid squares represent 1969 aftershock sequence, open circles represent 1971 aftershock sequence. Fault plane solution of February 2, 1969 events is taken from Molnar et al., 1973.



FEB 4 1975 40.60N 122.60E 12KM

Figure 3. Focal mechanism using lower hemisphere projections. Polarities of P wave first motion are given by solid circles, open circles for dilatation. S-wave polarization is indicated by arrows. P and T are the location of maximum and minimum compression, respectively.

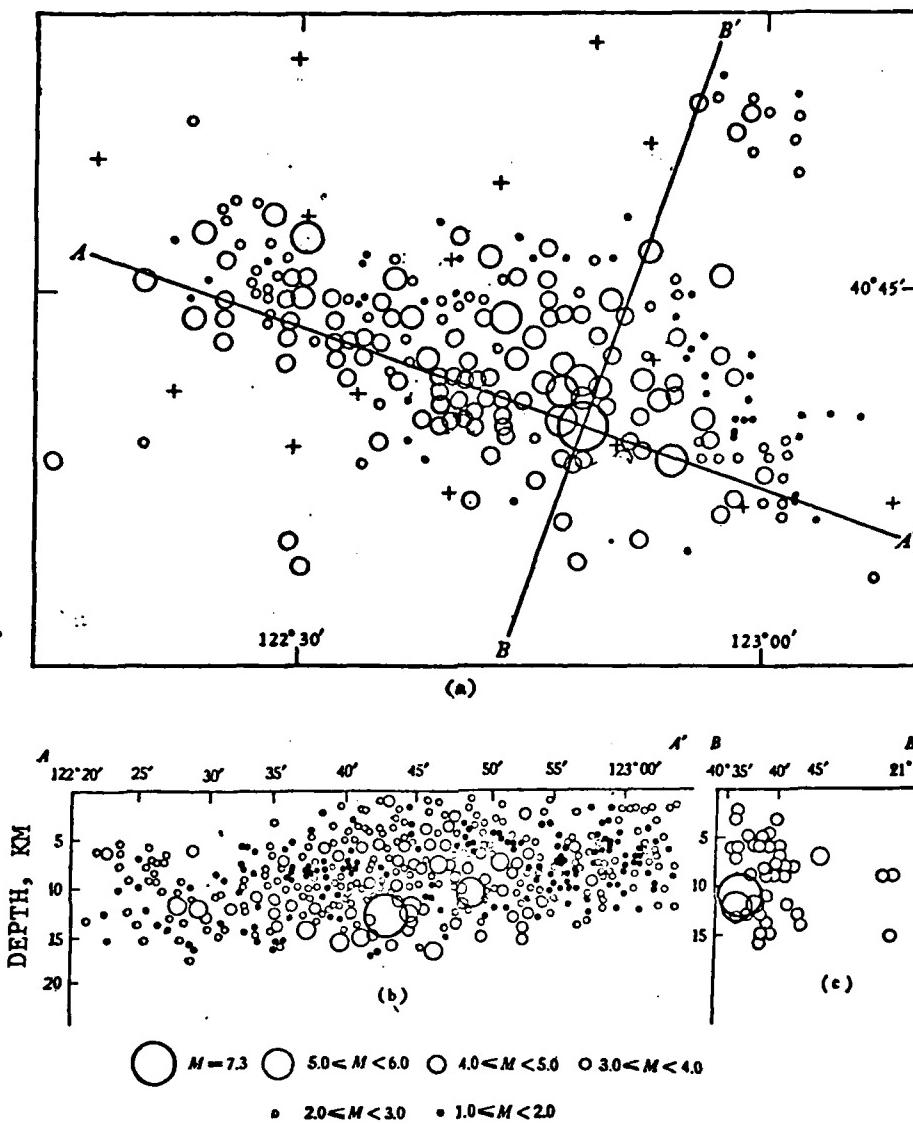


Figure 4. Map shows the distribution of earthquakes of magnitude 2 and greater between February 1 and May 31, 1975 from Gu and others, 1976.

## APPENDIX 1

### LATE CENOZOIC TECTONICS OF THE TIBETAN PLATEAU

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**Abstract.** Normal faulting interpreted from LANDSAT imagery and fault plane solutions of earthquakes suggest late Cenozoic east-west extension in the Tibetan Plateau. Volcanism and earthquake swarms could be additional evidence for extension, although they are not unique to extensional environments. Normal faulting contrasts with major thrusting along the southern boundary and major strike-slip faulting near the other boundaries of Tibet. The extension may be related to western Tibet being compressed between thrusting on the south and strike-slip faulting on the northwest and consequently spreading along an east-west trend.

#### Introduction

The Tibetan Plateau (Figure 1) is bounded on the north, south, and west by the Kunlun, the Himalayan, and the Karakorum mountains, respectively. Between 93°E-100°E, ranges trending northwesterly and northerly separate Tibet from southeastern China. The average elevation in the Tibetan Plateau is about 5 km. Although very little published geological and geophysical data on the Tibetan Plateau are available, the Tibetan Plateau is presumably a product of collision of the Indian and Eurasian plates [Gansser, 1964; Dewey and Burke, 1973; Burke et al., 1974; Molnar and Tapponier, 1975]. Stepped river terraces and abnormally large alluvial fans in the mouths of tributaries of the Tsangpo and Sutlej rivers [Li, 1963] suggest that uplift may be still continuing, at least along the southern boundary.

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The geology of Tibet has been summarized by Henning [1916], Norin [1946], Chang [1963], and Burke et al. [1974]. Volcanics of late Cenozoic age, mostly of calc-alkaline type, are widely distributed over Tibet, especially in southern Tibet [Bonvalot, 1892; Henning, 1961; Burke et al., 1974; Kidd, 1975]. This and the presence of fumarolic fields in the Nyenchen Thanghla Mountains imply that the crust of Tibet is hotter than the crust in a stable region.

Available data indicate that late Cenozoic deformation includes strike-slip faulting [Molnar and Tapponier, 1975; Tapponier and Molnar, 1976; York et al., 1976], thrusting and folding in the upper crust and perhaps ductile creep at a deeper level [Dewey and Burke, 1973; Burke et al., 1974], and normal faulting in the Tibetan Himalayas [Gansser, 1964; Bordet et al., 1968]. This paper is primarily concerned with understanding the contemporaneous tectonics through the use of data on seismicity, fault plane solutions, and geological features interpreted from LANDSAT imagery.

Reliable geophysical data for the Tibetan Plateau are scarce. Negative Bouguer gravity and near-zero free air gravity anomalies are reported by Chang and Zeng [1974], which suggest thicker than normal crust and isostatic equilibrium of the Tibetan Plateau. No refraction studies are published to our knowledge. Studies of surface-wave dispersion data show a possible 65- to 70-km thickness of crust under the Tibetan Plateau [Gupta and Narain, 1967; Tung, 1975; Bird and Toksöz, 1977; Chun and Yoshii, 1977]. Studies of Sn and Lg show inefficient propagation along paths that cross Tibet [Molnar and Oliver, 1969; Ruzaikin et al., 1977].

#### Data

##### Seismicity

Seismicity in the Tibetan Plateau is high for an intraplate area. Often the seismicity is characterized by swarms of earthquakes with maximum magnitudes

of approximately 6.5. Two large earthquakes ( $M \geq 7.8$ ) have occurred, in 1950 and in 1951. In addition, since 1900 fifteen earthquakes with magnitudes from 7.0 to 7.8 occurred in this region [Shih et al., 1973; York et al., 1976]. Earlier earthquake records in Tibet are rare, mainly because of its low population.

For comparison of the faults interpreted from LANDSAT imagery with earthquakes, epicenters were selected on the basis of a good azimuthal distribution of teleseismic stations. These epicenters were located by the International Seismological Summary (ISS) from 1960 to 1963 and by the International Seismological Centre (ISC) from 1964 to 1975 (Figure 2). Events south of the main central thrust and east of  $97^{\circ}\text{E}$  are not included. The selected epicenters, about 45% of all located earthquakes, represent the most accurately located epicenters.

#### Fault Plane Solutions

New fault plane solutions were determined for five earthquakes using the first motion of P waves and some S-wave polarizations recorded on long-period instruments of the World-Wide Network of Standardized Seismographs (WWNSS) between 1967 and 1975 (Figure 3). Some of the fault plane solutions (1 to 3) are poorly constrained because of their small magnitude and the unavailability of seismic data from nearby stations. In Figure 2 fault plane solutions of Ritsema [1961], Fitch [1970], Molnar et al. [1973], Ben-Menahem et al. [1974], and Tapponnier and Molnar [1977] are given in addition to these five solutions.

#### LANDSAT Imagery

The Tibetan Plateau, because it is largely unmapped geologically, provides a good opportunity for the practical use of LANDSAT imagery for obtaining regional geological and tectonic information. The mosaic constructed by

York et al. [1976] at a scale of 1:1,000,000, composed of black and white, band 7 imagery (near infrared, 0.8 to 1.1  $\mu\text{m}$  wavelength), is used for this study. The information extracted from this mosaic was photographically reduced to a 1:4,000,000 scale, and this version was used as the base map.

In this study lineaments on the imagery are interpreted as late Cenozoic faults primarily based on linearity, sharpness of a scarp, and presence of a topographic, tonal or textural difference across the lineament. Characteristics of different types of faulting can be inferred from their geomorphology. Normal faults are indicated by sharp, broken, and en echelon fault traces with average segment lengths of 10 to 20 km. Major strike-slip faults are characterized by long linear traces and can often be readily identified from LANDSAT imagery. Evidence for recent motions along such faults is provided by sharp offsets in the Quaternary alluvium and ponding of streams [York et al., 1976; Tapponnier and Molnar, 1977]. Traces of active thrust faults tend to be very irregular. Recent thrust faults are usually hard to recognize in photo interpretation because low angles of dip create sinuous patterns in map view and many of the patterns are not topographically enhanced by erosional processes.

Volcanics of Neogene to Quaternary age occur extensively in southern and northeastern Tibet [Burke et al., 1974; Kidd, 1975]. Samples gathered in early expeditions, mostly in southern Tibet, are mostly of calc-alkaline type and are of late Cenozoic age [Henning, 1916; Burke et al., 1974; Kidd, 1975]. But these few samples do not imply that all late Cenozoic volcanics throughout Tibet are calc-alkaline. Very large flow sheets resembling plateau basalt can be seen from LANDSAT imagery in northeastern Tibet [Kidd, 1975] (Figure 4), and a few Quaternary basalts are shown on the Geologic Map of China [1976]. The most conspicuous volcanic landforms are shown in Figure 2.

### Normal Faulting

The distribution of late Cenozoic normal faults that we mapped from LANDSAT imagery is shown in Figure 2. The widths of larger graben are 10-15 km. Smaller graben are 5-10 km in width and some tens of kilometers long (Figures 5 and 6). Scarps in alluvium, deformed terrace, and dramatic relief leave little doubt that the normal faults are active. The majority of normal faults strike northeast to northwest. Faults tend to be crooked in map plan, sometimes showing a rhomboid or even rectilinear pattern. The graben structures and associated normal faults are more extensively distributed in southern Tibet (Nyenchen Thanghla Mountains and Tibetan Himalayas) than in northern Tibet, and they are not observed south of the high Himalayas, where thrust faults predominate. Although normal faults of the Tibetan Plateau have not been previously mapped based on field work, some resemblance with known normal faults in other continental regions supports our interpretation.

The fault plane solution for the January 19, 1975 earthquake (see also Banghar [1976]) located south of the Karakoram fault indicates a large component of N-S trending normal faulting, although it allows a small component of strike-slip faulting because one of the fault planes is not well constrained. The epicenter lies about 20 km south of and on an extrapolation of a N-S trending normal fault interpreted from LANDSAT imagery (Figure 7). A lake in the graben and a young alluvial fan along the fault trace suggest Quaternary activity on the fault. Aftershocks associated with this earthquake are also located along a N-S trend [Banghar, 1976]. N-S striking normal faults are also known in this region from field study [Hagen, 1969]. A poorly constrained fault plane solution of a March 6, 1966 earthquake [Fitch, 1970] occurred about 200 km southeast of the epicenter of this earthquake (Figure 2) and also shows normal faulting with planes striking north.

The mechanisms of the events of August 16, 1973 and September 8, 1973 (Numbers 3 and 4, respectively, Figure 2) both show some component of normal faulting. The epicenters of these earthquakes are located near normal faults interpreted from LANDSAT imagery (Figure 8) and may be associated with similar structures. A strong component of strike-slip motion is present in these and other fault plane solutions in central and western Tibet. Although the relative amounts of normal and strike-slip motions vary, most solutions are consistent in indicating an approximately horizontal, east-west trending tensional axis.

The epicenter of the earthquake of May 3, 1971 occurs near northwest trending normal faults and graben system nearby (Figures 2 and 9), although the mechanism (which is poorly determined) is nearly pure strike-slip. However, the mechanism is still consistent with approximately east-west extension.

#### Major Strike-Slip Faulting

Large strike-slip faults near the eastern, western, and northern boundaries of the Tibetan Plateau were previously identified and named the Altyn Tagh fault (Figure 4), the Kunlun fault, and the Kang Ting fault, all with left-lateral displacement, and the Karakoram fault with right-lateral displacement [Molnar and Tapponneir, 1975; York et al., 1976; Tapponnier and Molnar, 1977] (Figure 2). The right-lateral Po Chu fault is newly identified and named in this paper (Figures 2 and 10).

Support for right-lateral motion on the Po Chu fault comes from two fault plane solutions. The earthquake of August 15, 1967 (number 1, Figure 2) occurred near the northwest trending lineaments that parallel the Po Chu fault. The rather poorly constrained fault plane solution shows right-lateral motion on the northwest trending plane. The second fault plane solution is that of the great Assam earthquake of August 15, 1950, which was located about 7 km from

from the Po Chu fault. The large size of the earthquake and the clear trace of the fault on the imagery suggest that the earthquake may have occurred on the fault. Unfortunately, this fault plane solution has been the subject of controversy, mainly because first-motion studies before the deployment of standardized long-period seismographs were sometimes unreliable. Tandon [1955] reported a normal fault plane solution based on the first-motion data reported in the ISS. Chen and Molnar [1977] found that the same ISS data and aftershock locations are consistent with an underthrust dipping gently to the north. However, Ben-Menahem et al. [1974]. reviewed all of the available original data regarding the first P motion and aftershock locations and have added surface-wave amplitude studies. They concluded that the fault plane solution showed a strong strike-slip component. Their solution is consistent with right-lateral motion on the Po Chu fault.

#### Conclusions

Normal faulting interpreted from LANDSAT imagery and fault plane solutions support the hypothesis that there has been east-west extension on the Tibetan Plateau during late Cenozoic. Volcanism and earthquake swarms also occur in Tibet and can be characteristic of extensional environments, although they also can occur in island arcs. In relation to the tectonic activity around the boundaries of Tibet as indicated by geological and geophysical investigations and studies of seismicity and to the uplift of Tibet, the extensional tectonics within Tibet appear minor. Hence we present a model in which the extensional features are a consequence of the major faulting along the boundaries and the uplift.

The extensional tectonics can be explained as resulting from relative eastward motion of the Tibetan Plateau as it is wedged from between the Indian

plate and the stable Tarim Basin (Figure 1). The wedging results primarily because the Altyn Tagh and Karakoram faults converge towards each other in western Tibet. The relative motions on these large faults tend to push the Tibetan Plateau to the east. Fault plane solutions [Yeh et al., 1975] indicate that convergence occurs in the Karakoram mountains. Thus, apparently both convergence there and east-west extension in Tibet occur.

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## FIGURE CAPTIONS

Fig. 1. Simplified map of geography and tectonics in the Tibetan Plateau. Heavy lines represent major active faults. Heavy dashed line represents Indus suture. From north to south large open arrows show relative motion between the Tarim Basin, the Tibetan Plateau, and the Indian plate. Location and form of graben are approximate.

Fig. 2. Seismo-tectonic map of the Tibetan Plateau, with faults and type of faulting interpreted from LANDSAT imagery. Thrusts with solid barbs are from Tapponnier and Molnar [1977]. Thrust with open barbs are inferred from analysis of imagery. Solid lines represent active faults; dashed lines represent most conspicuous lineaments. Line with hachures on one side are normal faults. Arrows with solid heads indicate direction of motion supported by fault plane solutions or surface faulting of earthquakes from Molnar and Tapponnier [1975] and York et al. [1976]. Arrows with open heads indicate direction inferred from photo interpretation. Large open circles represent all selected earthquakes (see text) with  $5 \leq m_b \leq 6-1/4$ ; small open circles,  $4 \leq m_b \leq 5$ . The fault plane solutions numbered 1 through 5 correspond to events reported in Figure 3. Previously reported solutions are indicated by letters next to the solutions. R is from Ritsema [1961], F from Fitch [1970], M from Molnar et al. [1973], B from Ben-Menahem et al. [1974], and T from Tapponnier and Molnar [1977]. Solid areas are most conspicuous volcanic land forms of Neogene to Quaternary age observed on LANDSAT imagery.

Fig. 3. New focal mechanism solutions for crustal earthquakes in Tibet, using lower hemisphere projections. Polarities of P-waves first motions are given by large and small solid circles for clear and weak compression, respectively; large and small open circles for clear and weak dilatation, respectively; and crosses for uncertain polarization. S-wave polarization is indicated by arrows.

Tick marks on nodal planes give the locations of poles to one of the nodal planes. P and T are the location of maximum and minimum compression, respectively.

Fig. 4. Large dissected volcanic flows at A and B. Drainage has developed atop both mesas indicating that parts may be older than Quaternary. Volcanic cone at D. Snow and ice-covered anomalously high topographic features around G and H may be Quaternary volcanoes (W.S.F. Kidd, personal communication, 1977). Center of image is approximately at  $35.6^{\circ}\text{N}$ ,  $89.4^{\circ}\text{E}$  (LANDSAT image No. 1215-04094).

Fig. 5. Arrows indicate normal faults in the left portion of the photo. Notice the horst and graben feature and sharp tonal and textural contrast across the fault. Center of image is approximately  $31.4^{\circ}\text{N}$ ,  $82.5^{\circ}\text{E}$  (LANDSAT image No. 1507-04304).

Fig. 6. North-south striking normal faults indicated by arrows on eastern flank of the graben at the center of the photo. Its broken but short, linear trace is clearly seen here. At A an intrusion of granite (Tertiary age according to Geologic Map of China [1976] is cut by the fault. Center of image is approximately  $29.0^{\circ}\text{N}$ ,  $86.4^{\circ}\text{E}$  (LANDSAT image No. 1198-04172).

Fig. 7. Earthquake of January 19, 1975 and its fault plane solution. Young alluvial fan has formed along fault scarp at F. Arrow points to the north-south trending normal fault. Karakoran fault lies between arrows. Center of image is approximately at  $32.9^{\circ}\text{N}$ ,  $78.8^{\circ}\text{E}$  (LANDSAT image No. 1096-04501).

Fig. 8. Earthquakes of August 16, 1973, and September 8, 1973, and their fault plane solutions. Open circles are locations of earthquake epicenters. Arrows indicate normal faults in nearby areas. A domal feature located at D and a circular lake at C are perhaps suggestive of volcanic origins. Center of image is approximately  $33.0^{\circ}\text{N}$ ,  $87.1^{\circ}\text{E}$  (LANDSAT image No. 1144-04160).

Fig. 9. Earthquake of May 3, 1971, and its fault plane solution. Arrows indicate normal faults. Center of image is approximately at  $30.5^{\circ}\text{N}$ ,  $84.3^{\circ}\text{E}$  (LANDSAT image No. 1056-04273).

Fig. 10. LANDSAT mosaic of Po Chu fault (named after Po Chu River). The name of this fault is not official. The Po Chu River follows the fault along short straight segments. Fault scarps at S and L. Center of image is approximately at  $28.8^{\circ}\text{N}$ ,  $96.6^{\circ}\text{E}$  (LANDSAT image No. 1461-03343).

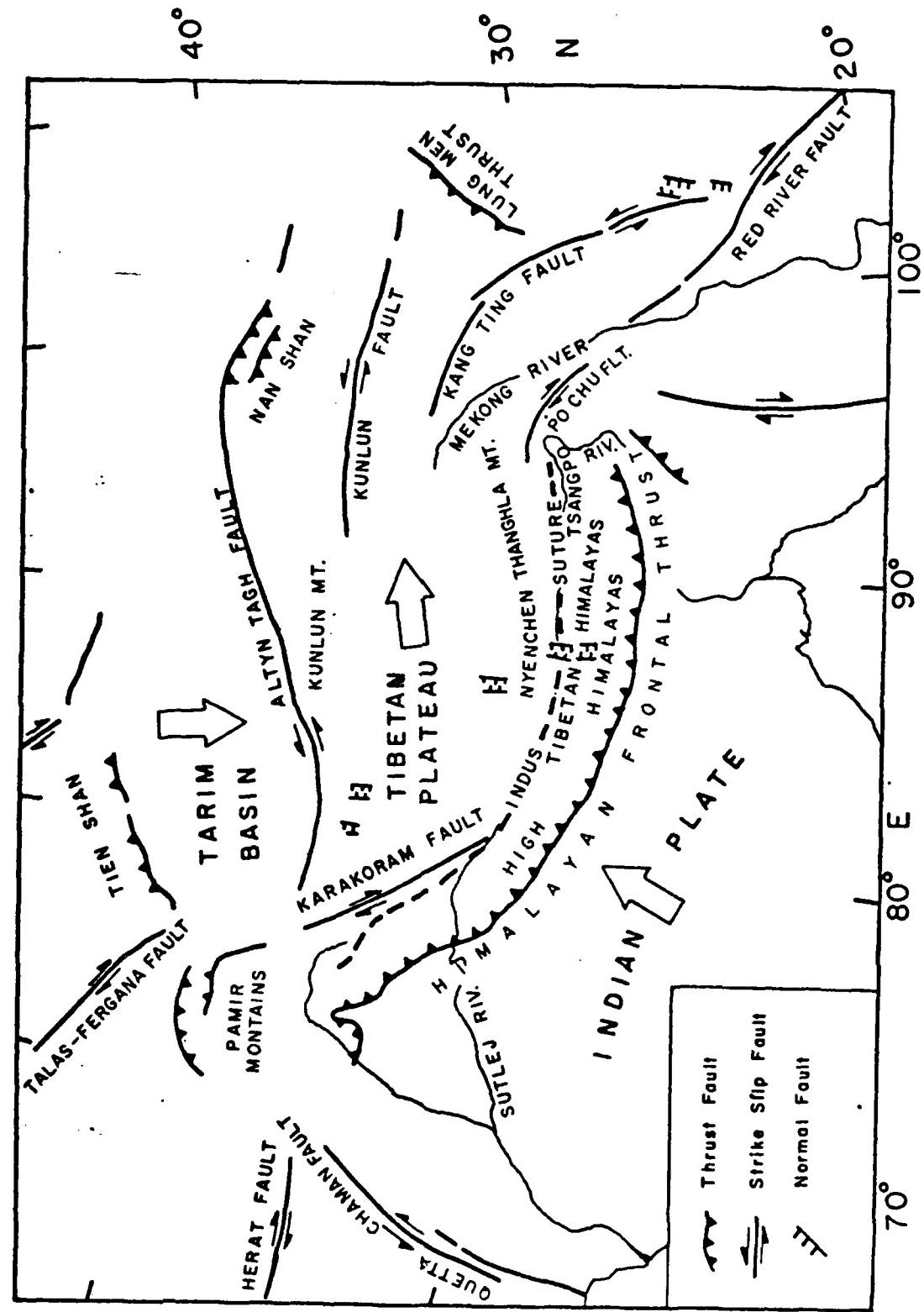


Figure 1

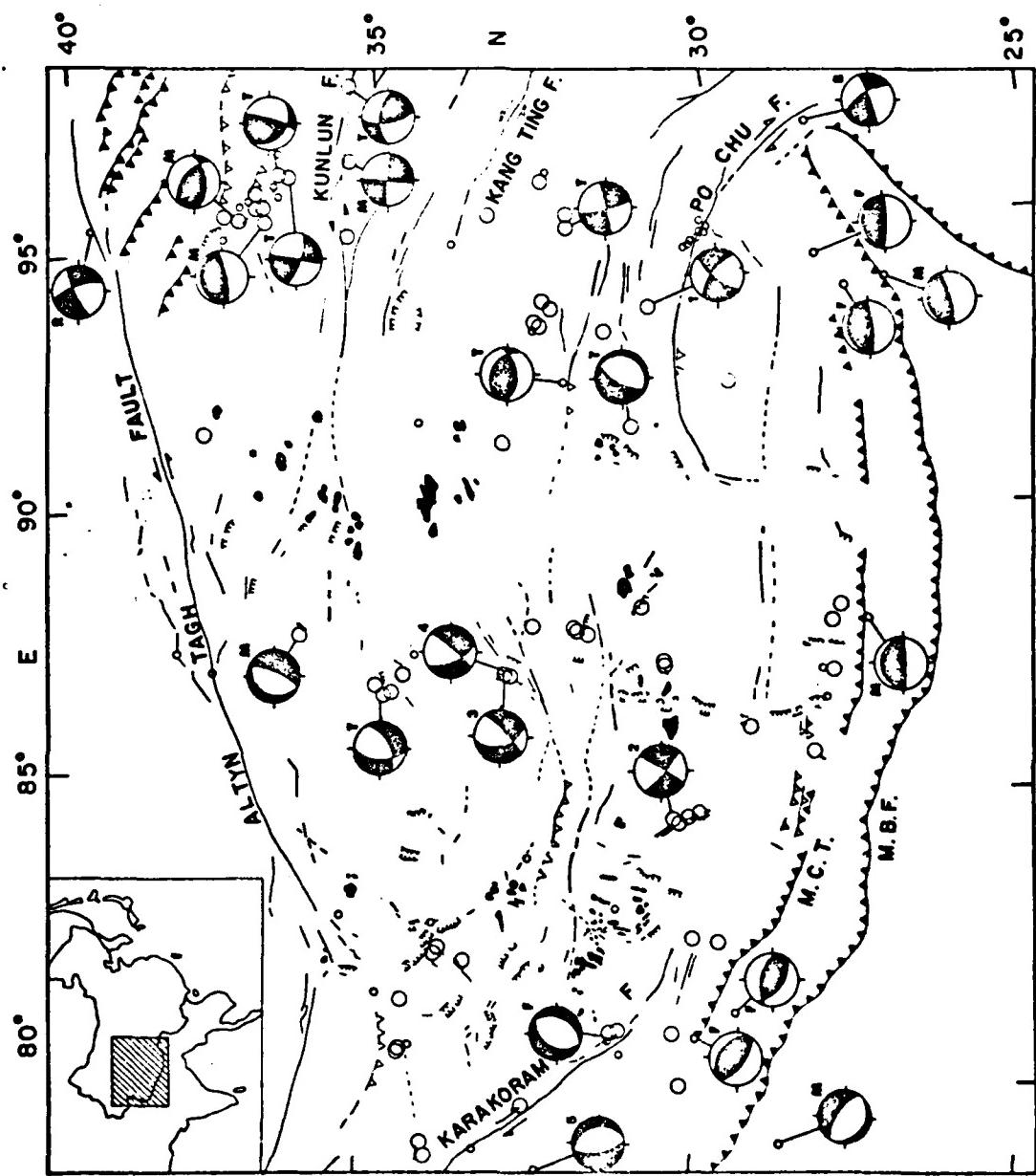


Figure 2

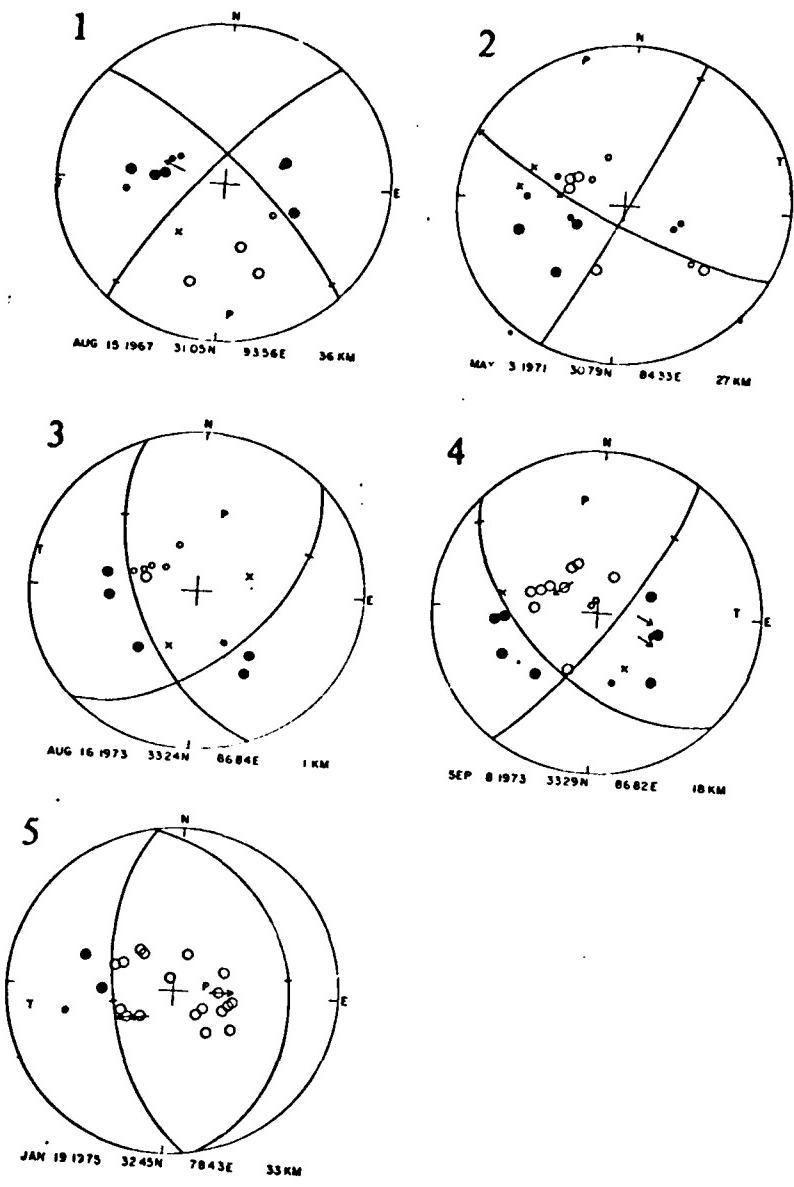


Figure 3

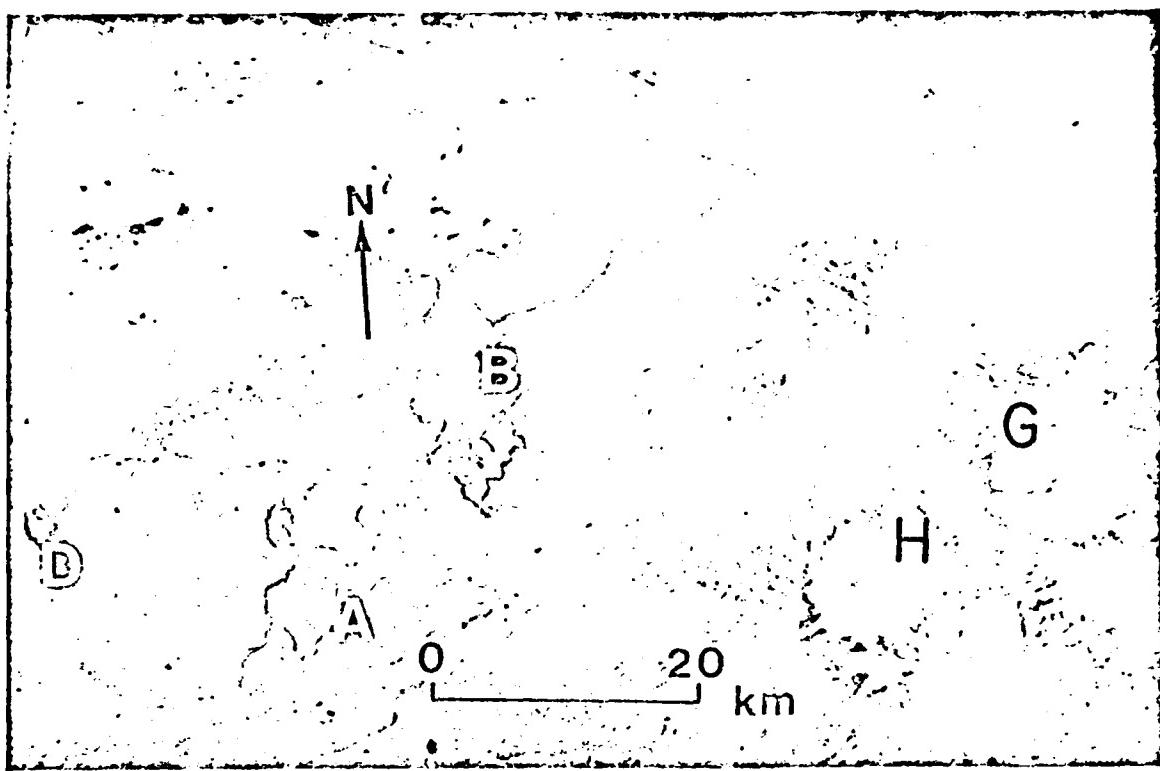


Figure 4



Figure 5

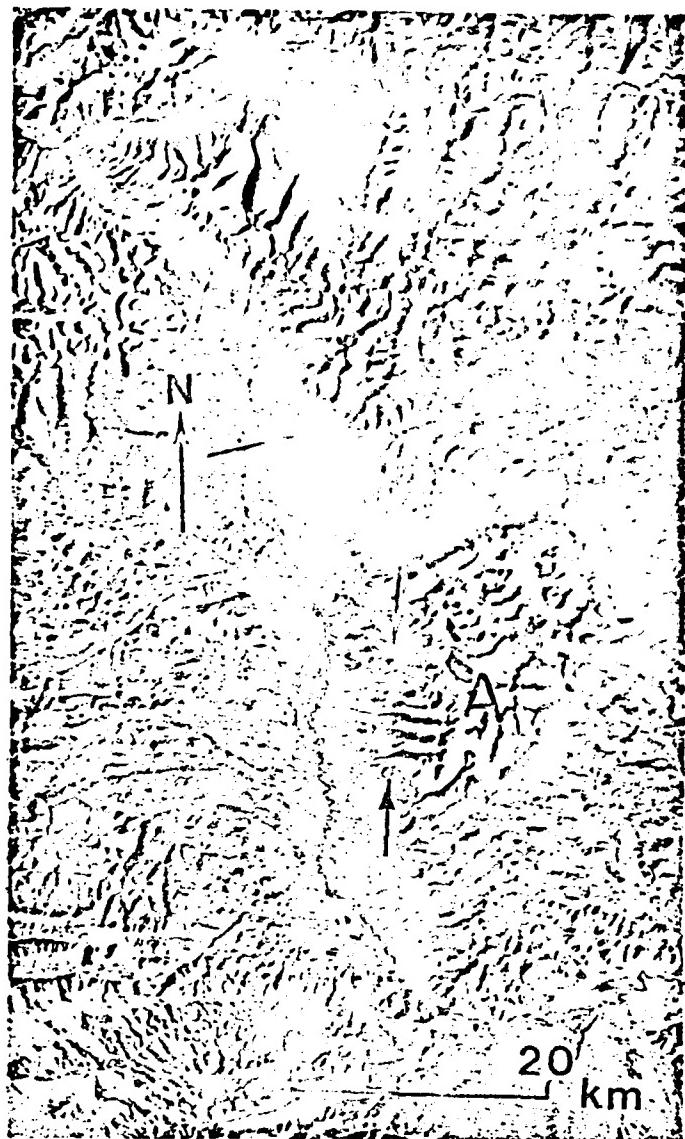


Figure 6



Figure 7

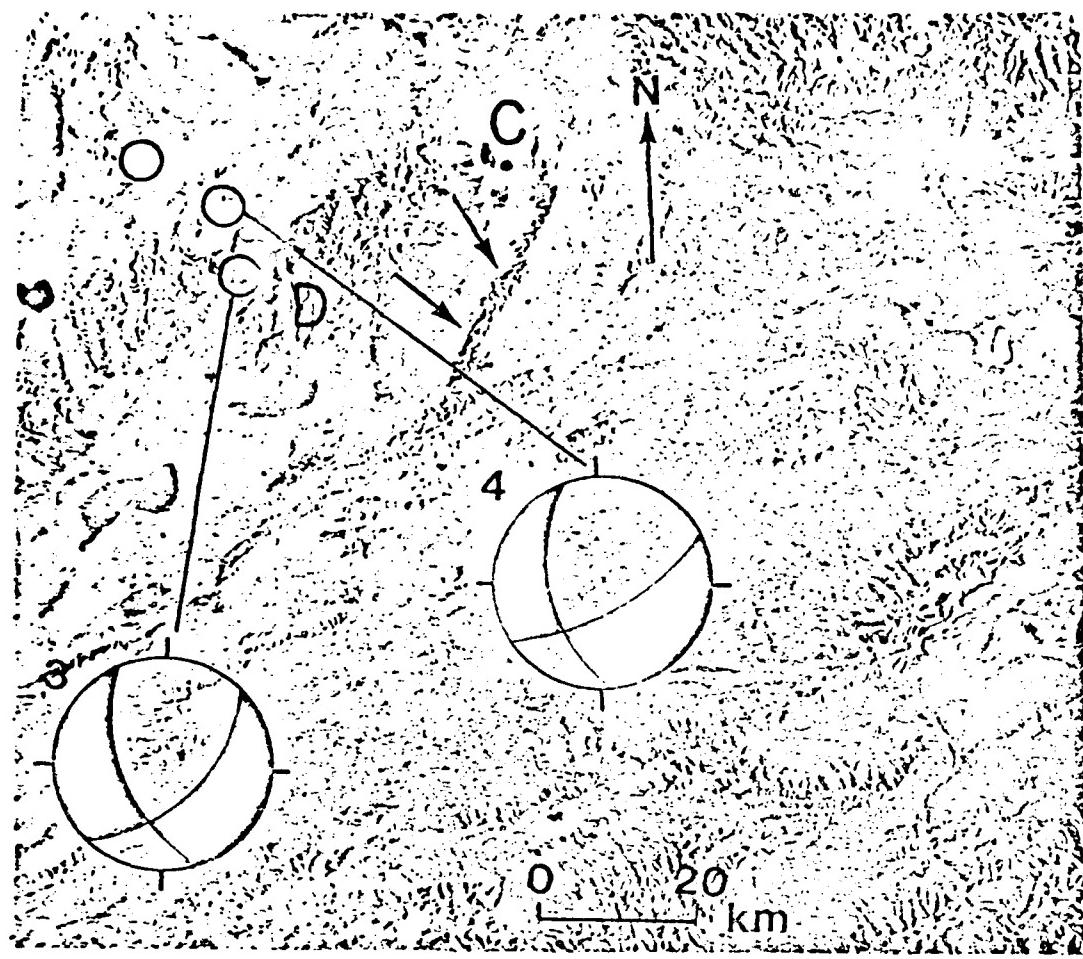


Figure 8

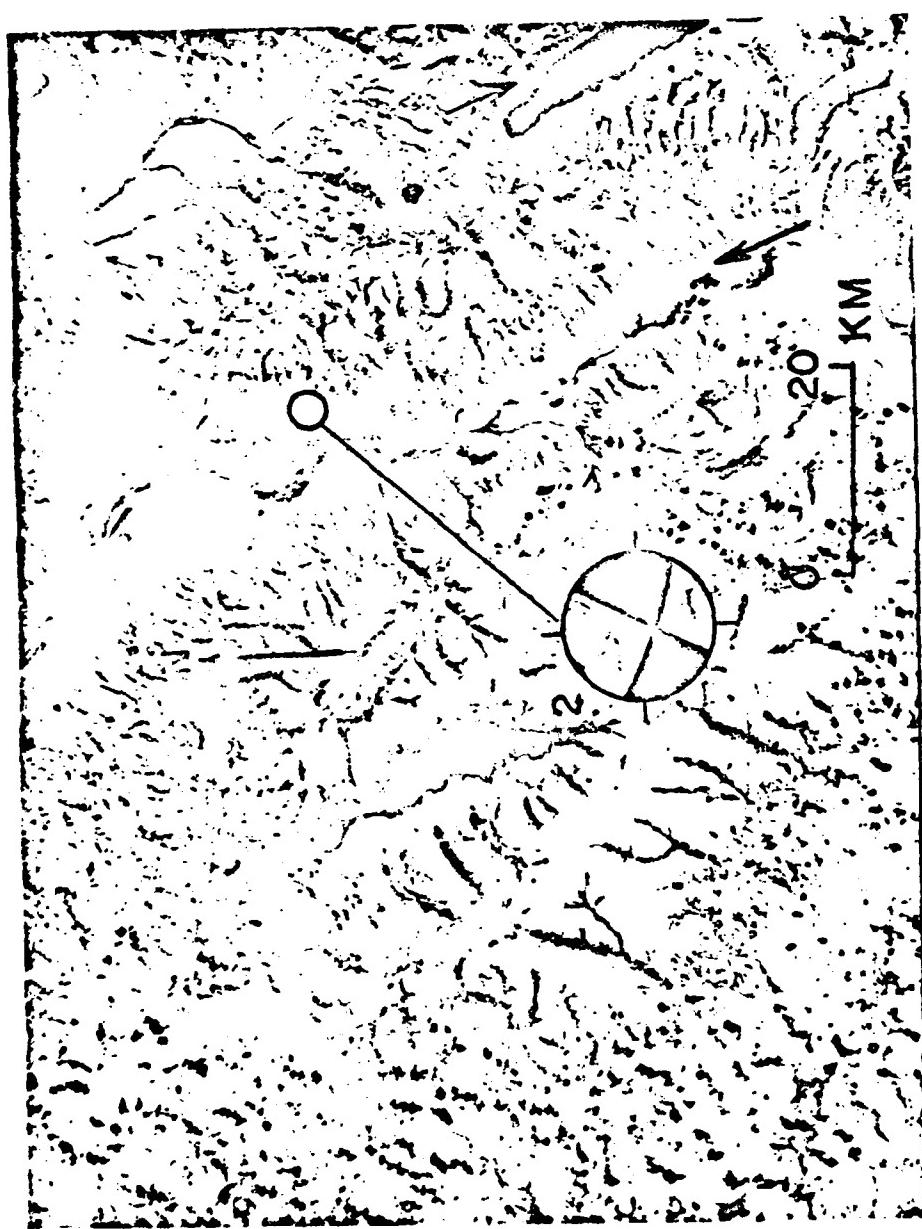


Figure 9



Figure 10

## APPENDIX 2

### CONTEMPORARY TECTONICS IN THE TIEN SHAN REGION

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#### ABSTRACT

Fault plane solutions of recent earthquakes, along with published fault plane solutions, geologic data from field reports, and faults interpreted from LANDSAT imagery indicate major thrust faulting in the Tien Shan region. For most of the fault plane solutions compressive stress axes are nearly horizontal and trending approximately N-S, perpendicular to the trend of the Tien Shan fold belts. Contemporary tectonics of the Tien Shan region can be interpreted as resulting from convergence of the Indian and Eurasian plates.

#### INTRODUCTION

The Tien Shan mountains rise abruptly above the northern border of the Tarim basin and extend westward into the Turanian lowland. They are offset in the middle by the Talaso-Fergana right-lateral strike-slip fault (Fig. 1). The Tien Shan mountains consist of parallel, approximately east-west trending, horst blocks which are often separated by wide latitudinal valleys [1]. The structure of the major portion of Tien Shan was formed during the Hercynian Orogeny [2]. The present high elevation of the Tien Shan mountains is a result of very recent tectonism [1,3,4]. Although the neotectonic structure in this region appears to have been inherited from late Paleozoic structures [5], few examples of large ancient faults which evolved in the Paleozoic are still active [5]. Hence some of the recent faults in Tien Shan region may be independent of older structures.

Previous tectonic and seismic studies show positive correlations between epicenters of strong earthquakes and major structures in the southern Tien Shan region [5,6,7, 8,9,10]. Fault plane solutions indicate an approximately horizontal north-south orientation of the P axes [4,11,12].

In this discussion, recent seismicity and LANDSAT imagery in the Tien Shan region are used to provide information on the relationship between neotectonics and earthquake occurrence.

#### DATA

##### Seismicity

Seismicity in the Tien Shan region is very high and is characterized by numerous great earthquakes [7,10]. In order to facilitate comparison of neotectonic structures with earthquakes, epicenters located by the International Seismological Summary (ISS) from 1960 to 1963 and by the International Seismological Center (ISC) from 1964 to 1976 are plotted on Figure 2.

##### Fault Plane Solutions

New fault plane solutions were determined for twelve earthquakes using the first motion of P waves and some S wave polarizations recorded on long-period instruments of the World-Wide Network of Standardized Seismographs (WWNSS) between 1971 and 1974 (Fig. 3) (Table 1). In Figure 1 these solutions as well as those of Molnar et al., Moskvina and Soboleva [4,9] are given.

##### Discussion

As shown in Figure 2, the majority of crustal earthquakes occur in the southern part of the Tien Shan Mountains, extending approximately in a latitudinal direction and located southeast of the Issyk-Kul Lake. About 60% of all located crustal events occur along the southern Tien Shan seismic zone. Numerous historical, catastrophic earthquakes have occurred here [7]. The most active section of the southern Tien Shan seismic zone coincides well with the Pamir Foredeep

which is characterized by intensely folded and faulted Cenozoic sediments [3].

High angle reverse faults, strike-slip faults and normal dip-slip faults are known in this region [13,14].

During the months of August and September, 1974, a major earthquake swarm occurred in southwestern Tien Shan near the China-USSR border. This swarm is of main shock-aftershock sequence type. The main shock occurred at 01 hours, August 11, 1974 with  $M = 6.2$  (Mb). Fault plane solutions for the main shock and two aftershocks (20 hrs and August 27, 1974) (Fig. 3) show large components of strike-slip faulting with some thrusting. Although high angle faults striking northeast and intensely folded beds are clearly seen on the LANDSAT imagery (Fig. 4), it is difficult to distinguish between the fault and the auxilliary plane because of the lack of field data in the epicentral region following the occurrence of these swarms. However, the aftershocks associated with main events are located on a narrow strip approximately 40 km in length and 15 km wide (Fig. 5). The trend of the aftershock zone is nearly NW-SE. Therefore it is probable that the fault plane strikes approximately  $330^\circ$ . The fault plane solution for the 21 hr, August 11, 1974 earthquake shows a large component of normal faulting (Fig. 3). Although normal faults striking approximately north and northwest are observed nearby (Fig. 4), nevertheless it appears that block faulting at depth may be associated with this earthquake.

Fault plane solutions for the earthquakes of March 23, 1971, January 15, 1971, June 16, 1971, January 15, 1972, April 9, 1972, June 2, 1973, and three previously determined fault plane solutions by Molnar et al [4] show a large component of thrusting. Although the relative amounts of the strike-slip component vary, most solutions show consistent northeast trending fault planes. Northeasterly trending thrust faults are reported by an early field study [1]. A recent geological study indicates that these thrust faults are dipping  $30^\circ$  to  $80^\circ$  northwest, along the foothills of the southern Tien Shan Mountains east of the Talaso-Fergana fault [15]. Northwest trending high angle dip-slip faults are clearly seen on LANDSAT imagery

(Fig. 6). These faults are also known by field geophysical observations [15]. Microearthquake data with good depth control shows that faulting occurs on both northeast and northwest striking faults [15].

Moskvina and Soboleva [9] determined fault planes for ten crustal earthquakes in the extreme southwestern Tien Shan region (approximately  $38.5^{\circ}\text{N}$ ,  $69^{\circ}\text{E}$ ) (see examples in Fig. 1) and found that thrust faulting was also the common mode of deformation. However, in contrast with the north-south trending P-axes indicated by fault plane solutions in other parts of Tien Shan, here the P-axes are oriented northwest to southeast. These stress orientations are consistent with NE-trending faults and folds which follow the bend of Pamir arching structure.

The great Talaso-Fergana strike-slip fault cuts across the Tien Shan range. It may have a maximum lateral displacement of approximately 250 km since its initiation during late Paleozoic times [14]. The fault plane solution for the May 10, 1971 earthquake is consistent with motion observed along the fault (Fig. 1). The transition of the Talaso-Fergana transcurrent faults into latitudinal overthrust faults has been shown by Wallace [16]. The earthquake of October 26, 1971 was located approximately 15 km west of the Talaso-Fergama fault. Its fault plane solution shows a large thrust component which is consistent with the orientation of the latitudinal thrust faults nearby.

## CONCLUSIONS

Seismic data show that the principal earthquakes zones of the Tien Shan region are clearly related to the neotectonic structures. Fault plane solutions of earthquakes, geologic data from field reports, and faults deduced from LANDSAT imagery indicate that the neotectonics of the southern Tien Shan region are dominated by thrust faulting in response to approximately north-south compression. The southeastern Tien Shan region is characterized by northward thrusting. In contrast, the southwestern Tien Shan region (Pamir Foredeep) is characterized by southward thrusting. Between these two major thrust belts the Talasо-Fergana right lateral strike-slip fault appears to be absorbing the differential horizontal shortening.

## ACKNOWLEDGEMENTS

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## FIGURE CAPTIONS

- Figure 1:** Fault plane solutions and major faults of the Tien Shan region. Equal area lower hemisphere projections of both new and published ( $m$  from Molnar et al. [4],  $s$  from Moskvina and Soboleva [9]) fault plane solutions. Black quadrants denote compressional first-motion of P-waves. Inward arrows represent horizontal projection of P-axes (maximum compressive stress); outward arrows represent T-axes (minimum compressive stress). Fault and type of faulting are from [10,17,18].
- Figure 2:** Seismicity of Tien Shan region. Circles represent focal depth of  $<70$  kilometers. Triangles represent focal depth of 70 kilometers but  $<300$  kilometers. Large open circles and triangles represent epicenters with  $5 \leq$  Magnitude  $<6.3$ . Small open circles and triangles represent epicenters with  $4 \leq$  Magnitude  $<5$ . See text for data sources. Solid lines represent faults. Legend is the same as for Figure 1.
- Figure 3:** New focal mechanism solutions for earthquakes in Tien Shan area, using lower hemisphere projections. Polarities of P-wave first motions are given by large and small solid circles for clear and weak compression, respectively; large and small open circles for clear and weak dilatation, respectively; and solid and open squares for compression and dilatation respectively reported by ISC from nearby stations. Cross-marks on nodal planes give the locations of poles to the nodal planes. P and T are the location of maximum and minimum compressive stress axes respectively.
- Figure 4:** Epicenters of the August 11, 1974 earthquake (solid circle represents main shock) and its aftershocks. Large open circles and small open circles represent  $5 \leq$  Magnitude  $<6.3$  and  $4 \leq$  Magnitude  $<5$  respectively.
- Figure 5:** LANDSAT image (no. 2673-04540) showing (1) highly faulted and folded Pamir Foredeep between A and B, and (2) High angle dip-slip faults, indicated by arrows. Notice the sharp tonal, topographic and textural difference across these faults. Circles represent epicenters of earthquakes which are the same as of Figure 4.
- Figure 6:** LANDSAT image (no. 2167-04534) showing high angle fault between C and D. Legend for circles are same as for Figure 4. Notice the folded beds on the foothills of southern Tien Shan Mountains resulting from northward dipping thrust faults (between arrows).

TABLE I. FOCAL MECHANISM SOLUTIONS

Day No.	Yr.	Epicenter		Depth km	Pole of 1st Nodal Plane Trend Plunge	Pole of 2nd Nodal Plane Trend Plunge	Axis of Com- pression (P)		Axis of Tension (T)		Null Axis (B)			
		Lat(N)	Long(E) degrees				Trend	Plunge	Trend	Plunge				
23	Mar 71	41.42	79.20	14	342	46	172	45	347	1	255	84	63	6
10	May 71	42.58	71.29	13	318	41	216	14	3	17	257	50	112	45
15	Jun 71	41.39	79.18	96	317	21	198	52	160	18	277	54	60	32
16	Jun 71	41.49	79.29	14	326	21	224	30	185	6	279	37	85	53
28	Oct 71	41.88	72.35	15	156	52	342	38	160	6	0	83	231	3
15	Jan 72	40.17	78.96	8	345	20	192	68	172	24	330	65	365	20
9	Apr 72	42.09	86.58	21	346	3	166	87	167	42	348	47	77	0
2	Jun 73	44.14	83.60	20	6	6	186	84	6	38	186	52	96	0
11	Aug 74	39.34	73.76	7	324	24	223	24	183	0	273	36	92	53
11	Aug 74	39.44	73.67	41	328	29	219	31	183	1	272	46	92	45
11	Aug 74	39.46	73.62	26	42	22	236	68	34	66	227	22	135	5
27	Aug 74	39.52	73.82	14	21	5	290	10	355	10	245	4	147	78

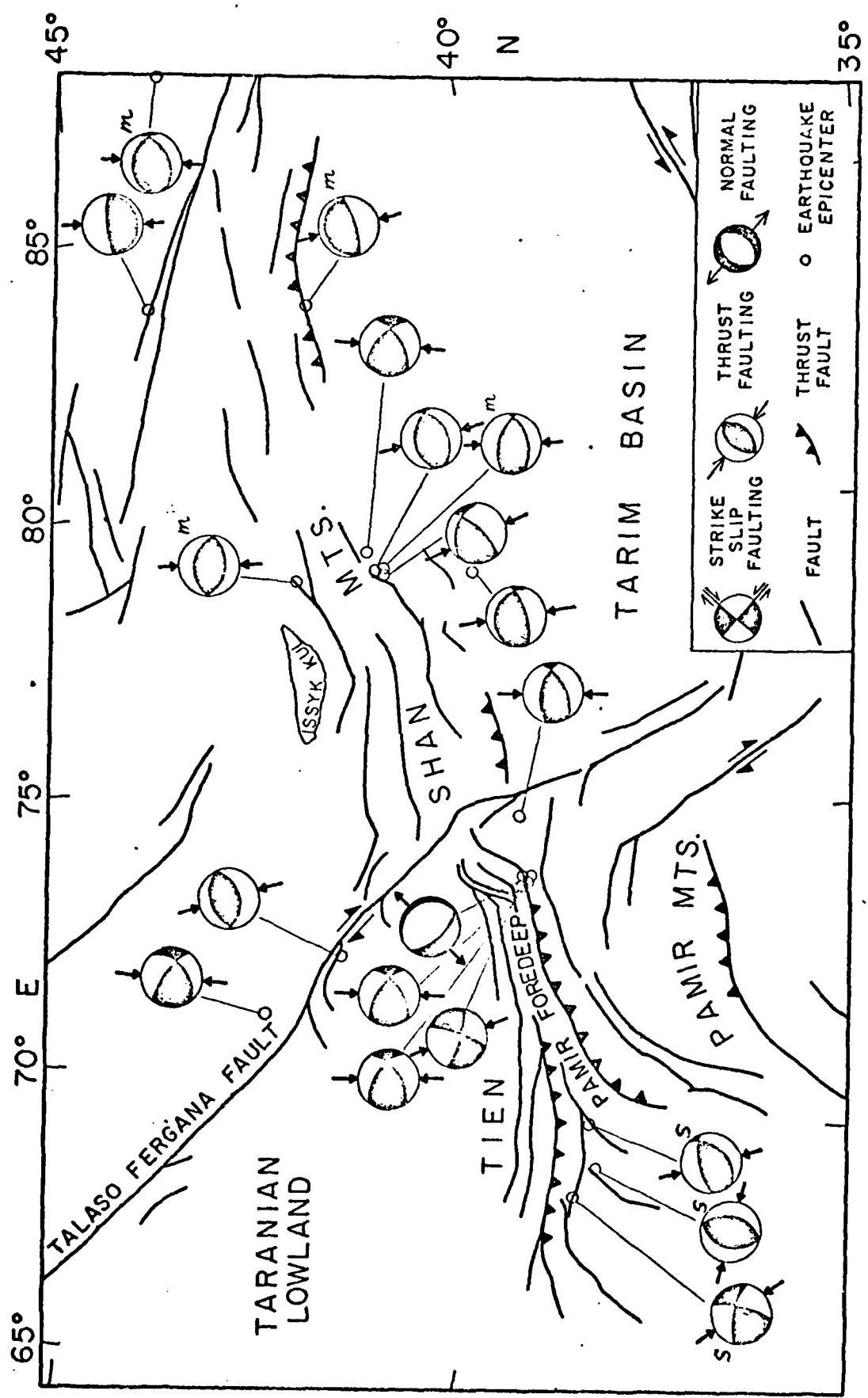


Fig. 1

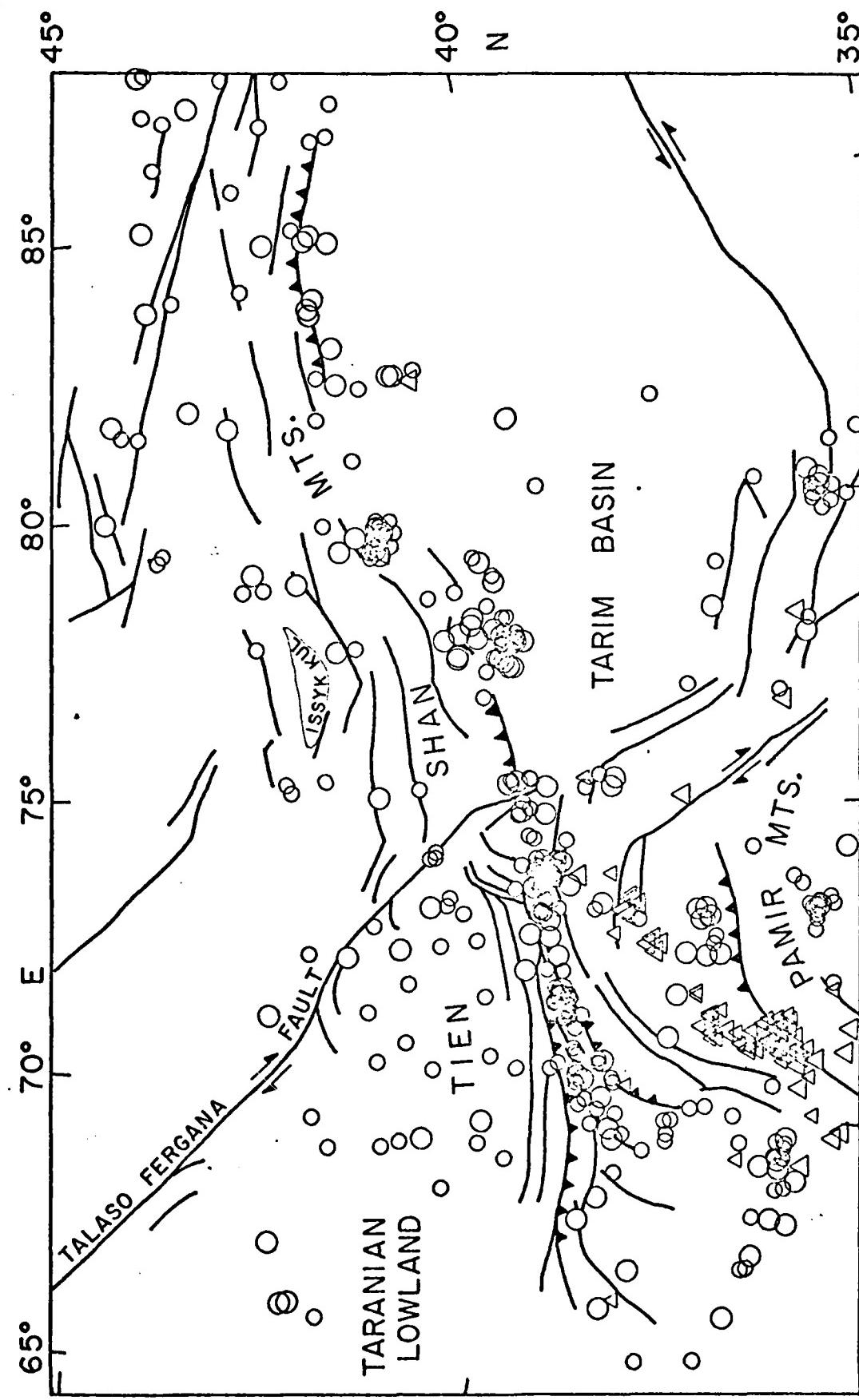


Fig. 2

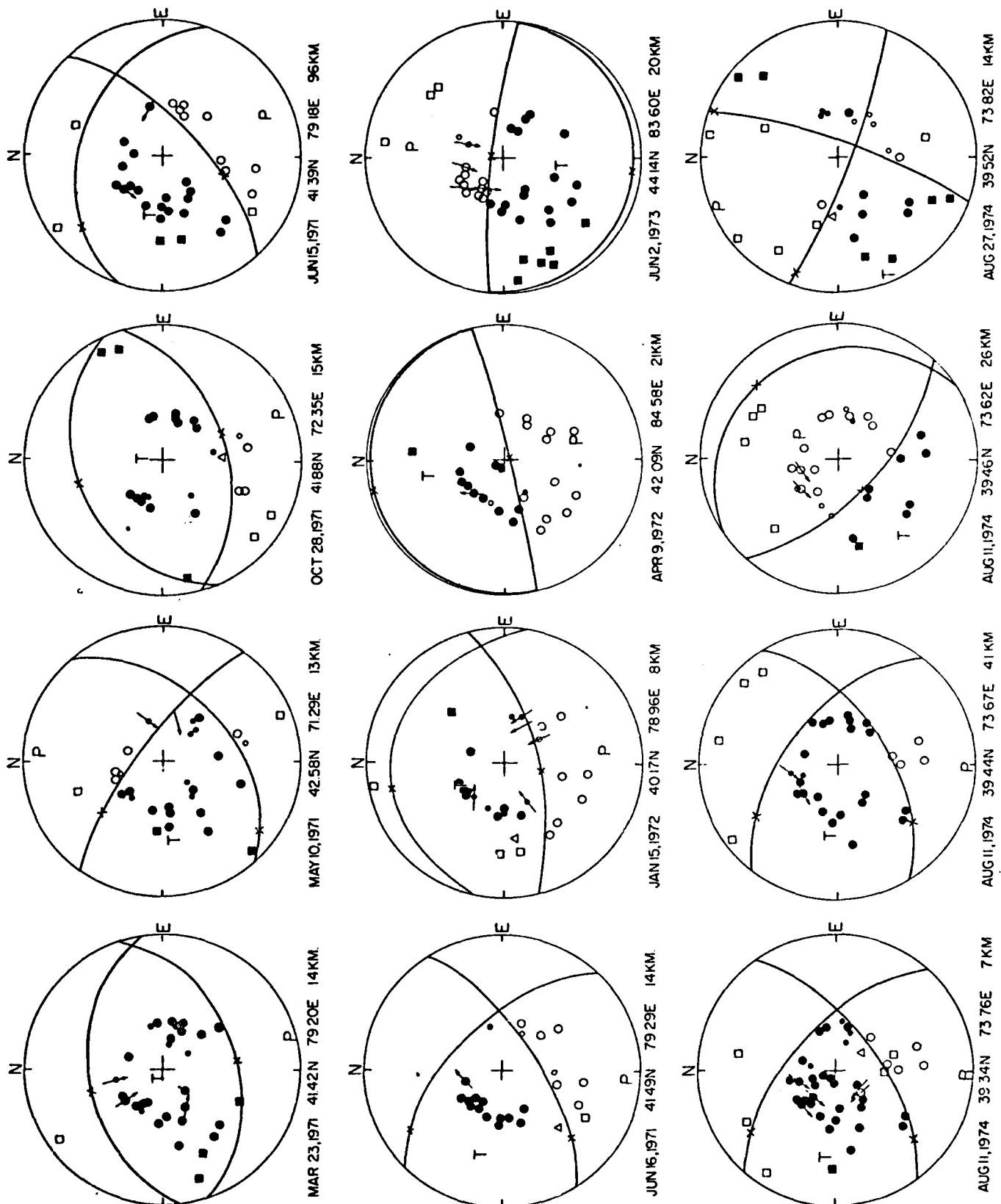


Fig. 3

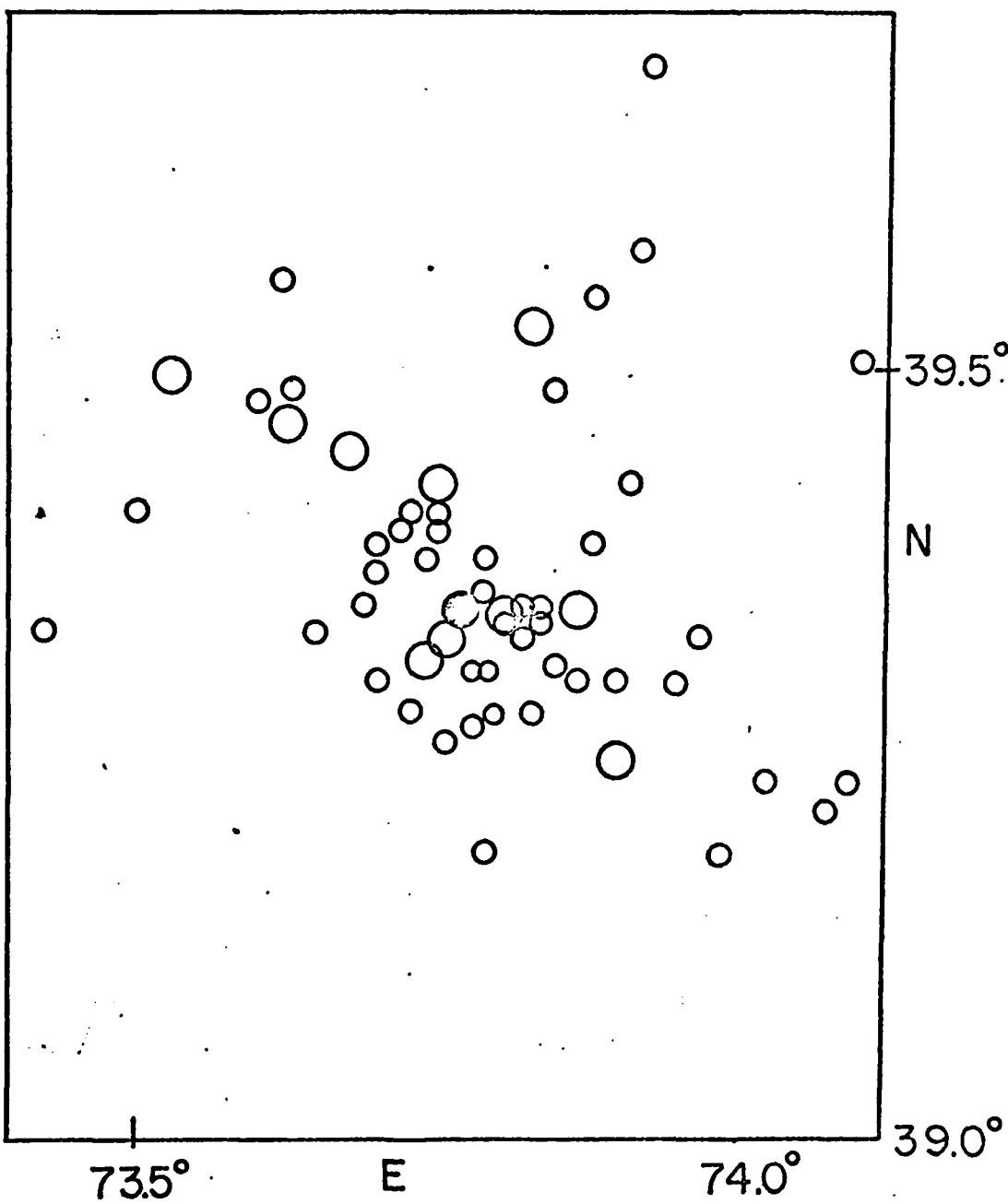


Fig. 4

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IE073-00

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A

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Fig 5.



Fig. 6